



\$3627

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Green  
Serial No.: 09/389,939  
Filed: September 3, 1999  
For: FINANCIAL INSTRUMENT FILTERING SYSTEM  
AND METHOD THEREFOR  
Examiner: Cuff, Michael A.  
Art Unit: 3627  
Customer No.: 27,623

**RECEIVED**  
JAN 16 2004  
**GROUP 3600**

Attorney Docket No.: 321.5452USU

**COMMISSIONER FOR PATENTS**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Dear Sir:

**AMENDMENT TRANSMITTAL FORM**

Transmitted herewith is an Amendment in the above-identified application.

Petition for extension of time pursuant to 37 C.F.R. §§ 1.136 and 1.137 is hereby made if, and to the extent, required. The fee for this extension of time is calculated to be \$55.00 to extend the time for filing this response until January 9, 2004.

The fee for any change in number of claims has been calculated as shown below.

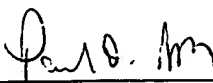
| CLAIMS AS AMENDED               |   |       |   |                  |           |        |
|---------------------------------|---|-------|---|------------------|-----------|--------|
|                                 | Claims<br>Remaining<br>After<br>Amendment |       | Highest<br>Number<br>Previously<br>Paid | Present<br>Extra | Rate      |        |
| Total<br>Claims                 | 20  | Minus | 47                                      | 0                | x \$18.00 | \$0.00 |
| Independent<br>Claims           | 4   | Minus | 6                                       | 0                | x \$86.00 | \$0.00 |
| MULTIPLE DEPENDENT CLAIM FEE    |   |       |   | x \$290.00 = \$  |           |        |
| TOTAL FEE FOR CLAIM CHANGES     |   |       |   | \$0.00           |           |        |
| 1/2 FILING FEE FOR SMALL ENTITY |   |       |   | \$N/A            |           |        |

The total fee for this amendment, including claim changes and any extension of time is calculated to be \$ 55.00 .

**XXX** A check in the amount of \$ 55.00 is attached.

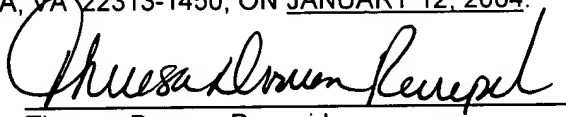
**XXX** The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

Date: January 12, 2004

  
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Paul D. Greeley, Esq.  
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**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON JANUARY 12, 2004.

  
\_\_\_\_\_  
Theresa Doonan Recupido

1/12/04  
DATE